ปฏิ064 U.S. PTO 3 Report PCT/PTO **U.S. DEPARTMENT OF COMMERCE** FORM P10-1390 PATENT AND TRADEMARK OFFICE (REV. 5-93)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

INTERNATIONAL FILING DATE

0136/0J067

INTERNATIONAL APPLICATION NO. PCT/KR00/01213

26 October 2000

PRIORITY DATE CLAIMED 15 March 2000

TITLE OF INVENTION

## GENOTYPING KIT FOR DIAGNOSIS OF HUMAN PAPILLOMAVIRUS INFECTION

APPLICANT(S) FOR DO/EO/US

## PARK, Tae-Shin; KIM, Sung-Keun; KIM, Jin-Hee; PARK, Mi-Sun

Applicant herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S. C. 371. 2. []
- This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until 3. [] the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. []
- A copy of the International Application as filed (35 U.S. C. 371 (c) (2) ) 5. [X-
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X], A translation of the International Application into English (35 U.S. C. 371 (c)2)).
- 7. [][] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
    - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
    - d. [] have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)). 8. []\_=
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (with 14 references).
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
  - A SECOND or SUBSEQUENT preliminary amendment.  $\Pi$
- 14. [] A substitute specification.
- 15. [] . A change of power of attorney an/or address letter.

Date 4-(

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office

			JC02 Rec'd PCT/P	0 6 OT	APR 2001	
U.S. APPLICATION 10.0 K/ov8 0-772 (60) INTERNATIONAL APPLICATION NO.: PRO0/01213					Attorney's Docket Number 0136/0J067	
17. [x] The following fees are submitted:					PTO USE ONLY	
Basic National Fee (37 CFR 1.492 (a)(1)-(5)): Search Report has been prepared by the EPO [] or JPO [] \$860.00						
International preliminary examination fee paid to USPTO (37 CFR 1,482)						
No international preliminary examination fee paid to USPTO(37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2) \$710.00						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00					i	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)				\$1,000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 1126 APPROPRIATE BASIC FEE AMOUNT = months from the earliest claimed priority date (37 CFR 1.492(e)).						
Claims	Claims Number Filed Number Extra Rate					
Total Claims	11-20	0	X \$18.00	\$		
Independent Claims	<b>3</b> -3	0	X \$80.00	\$		
Multiple dependent claims(s) (if applicable) + 270						
TOTAL OF ABOVE CALCULATIONS =						
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).						
SUBTOTAL =						
Processing fee of \$130.00 for furnishing the English translation later the [] 20 [] 39 months from the earliest claimed priority date (37 CFR 1.492(f)).						
TOTAL NATIONAL FEE =				\$500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)), the assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property				\$40.00		
appropriate cover sheet (37						
appropriate cover sheet (37		er property		\$540.00		
Tela		er property	+	\$540.00  Amount to be: refunded	\$	

- a. [X] A check in the amount of \$540.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees. b. []
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. [X] Account No. 04-0100. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: S. Peter Ludwig, Esq. Darby & Darby P.C. 805 Third Avenue

2

New York, New York 10022-7513

SIGNATURE/

NAME Marie L. Collazo, Registered Patent Agent REGISTRATION NO. 44,085

Inventor or Patentee: PARK, Tae-Shin et al. Case No.: Page 1 Applicant or Patent No.:

Filed or Issued:

Title: GENOTYPING KIT FOR DIAGNOSIS OF HUMAN PAPILLOMAVIRUS INFECTION

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

1. I	,	the	undersigned,	do	hereby	declare	that:
------	---	-----	--------------	----	--------	---------	-------

- a. [ ] I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in the patent or application identified above; OR
- b. [ ] While I am not an inventor, I declare that rights under contract or law have been conveyed to and remain with me with regard to the invention described in the patent or application identified above. I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the United States Patent and Trademark Office if I had made the invention; OR
- c. [ ] I am the owner of the small business concern identified below  $$\operatorname{\textsc{OR}}$$ 
  - [ X ] I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS: ADDRESS OF SMALL BUSINESS:

If either of the boxes in item (c) is checked, I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above; or

d. [ ] I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: BIOMEDLAB CORPORATION

ADDRESS OF NONPROFIT ORGANIZATION: 4<sup>th</sup> Fl., Dongsung Bldg., 1-49

Dongsung-dong, Jongno-gu,

Seoul 110-510, Republic of

Korea

FULL NAME:

Inventor or Patente Applicant or Patent Filed or Issued:	•	Case No.:	Page 2
	IT FOR DIAGNOSIS OF HUMAN	PAPILLOMAVIRUS	INFECTION
TYPE OF NONPF	OFIT ORGANIZATION:		
[ ]	university or other instit tax exempt under Intern 501(a) and 501(c)(3)) nonprofit scientific or e of the United States of A (name of state: (citation of statute: would qualify as tax exem Code(26 USC 501(a) and 5	nal Revenue Seeducational und America npt under Inter	ervice Code(26 USC er statute of state ) ' nal Revenue Service
[ ]	States of America would qualify as nonprofistatute of state of the U in the United States of A (name of state: (citation of statute:	it scientific o nited States of	or educational under
identified abo 1.9(e) for pur	checked, I further declare we qualifies as a nonprofit poses of paying reduced fe- ee regarding the invention de ve.	organization a es to the Unite	as defined in 37 CFR d States Patent and
granted, convey law to assign, person who woul if that person h	concern or organization of concern or organization of concern and is undependent, convey or license, do not qualify as an independent of the concern under 37 CFR 9(e).	ler no obligation any rights in the condent inventor on any concern which	on under contract or the invention to any under 37 CFR 1.9(c) ich would not qualify
are not exclus in the inventi	neld by above-identified in ive, each individual, condon are identified below. List file separate verified ies.	cern or organiz Each such ind	ation having rights ividual, concern or
<del>-</del>	ified statements are requir ving rights to the inventio 1.27).		=
FULL NAME: ADDRESS: [ ] INDIVI	DUAL [ ] SMALL BUSINESS CO	ONCERN [ ] NOI	NPROFIT ORGANIZATION

ADDRESS:
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

e,

Inventor or Patentee: PARK, Tae-Shin et al.

Case No.:

Page 3

Applicant or Patent No.:

Filed or Issued:

Title: GENOTYPING KIT FOR DIAGNOSIS OF HUMAN PAPILLOMAVIRUS INFECTION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: KIM, Jong-Won TITLE OF PERSON (if not an owner or individual): Representative ADDRESS OF PERSON SIGNING: 4th Fl., Dongsung Bldg., 1-49 Dongsung-dong, Jongno-gu, Seoul 110-510, Republic of Korea

NAME OF PERSON SIGNING: TITLE OF PERSON (if not an owner or individual): ADDRESS OF PERSON SIGNING:

NAME OF PERSON SIGNING: TITLE OF PERSON (if not an owner or individual): ADDRESS OF PERSON SIGNING:

SIGNATURE:

O

0

١,٠[ 7U

(M 

ひんるれ

DATE: March . 16, 2001

SIGNATURE:

DATE:

SIGNATURE:

DATE: